

FUJAIRAH GOLD FZC**Quality, Environment & Safety Management System**

In conformance with ISO 9001(2015),
ISO 14001 (2015) & ISO 45001 (2018)

Roles & Responsibility – For compliance officer

REV.NO. 02

VERSION NO. 04

FGP/MOE/05

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ROLES AND RESPONSIBILITY -FOR Compliance officer

Fujairah Gold considers it paramount to implement the guidelines given by the MoE as mentioned in the MoE's Rules in Due diligence regulations for responsible sourcing of gold version 1, August 2022. As part of that we have appointed Mr. Bhuvish Aggarwal as the compliance officer for the transactions related to the procurement of precious metals to feed the Refinery.

He is, as a General Manger, being reporting directly to the Unit head & is member of the review meeting with the CEO.

He shall have the following responsibilities as mentioned below.

- A. Verifying compliance in line with Due diligence regulations for responsible sourcing gold version 1, August 2022 in the context of applicable legislation relating to the prevention of money laundering, terrorism financing and the funding of unlawful organizations.
- B. Confidentially retaining and inspecting all records necessary for the proper fulfilment of its tasks.
- C. Receiving, examining and considering suspicious transactions, notifying the FIU of any suspicious transaction, and submitting any required STR to the FIU;
- D. Reviewing and proposing amendments to internal regulations and due diligence procedures relating to the prevention of money laundering, terrorism financing and the funding of unlawful organizations.
- E. Preparing bi-annual reports to senior management and sending a copy of such reports (together with the notes and resolutions of the senior management in response to such reports) to the FIU in accordance with the Resolution; and
- F. Implementing adequate internal training to ensure relevant employees are educated on responsible sourcing of gold.

His additional responsibilities shall be.

- a. Immediately upon submitting any STR to the FIU, provide a copy of that STR to the MoE;
and

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DATE : 01.05.2026

Approved By: Bhuvish Aggarwal



DATE : 01.05.2026



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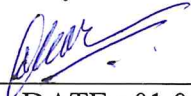
- b. Immediately upon submitting a copy of each bi-annual report (together with the notes and resolutions of the senior management in response to such report) to the FIU, provide a copy of that report and notes to the MoE,
- c. Further Compliance officer shall be the designated Money laundering reporting officer (MLRO).

In case the Compliance officer is not available to perform his duties in the office, then their job responsibilities shall be assigned to Finance controller.

1. AMENDMENT HISTORY



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S.No	Previous condition (before this revision)	Present condition (Reason made)	Previous Rev. No.& Issue date	Current Rev. No & Issue date
1.	Vedanta new logo	Vedanta changes new logo with addition of “Transforming Elements”	Rev. No: 06, Ver.No: 01 01.02.2018	Rev. No: 07, Ver. No: 01, 01.02.2019
2.	OSHAS 18001 (2007) standard	OSHAS 18001 (2007) replaced with ISO 45001(2018).	Rev. No: 07, Ver. No: 01, 01.02.2019	Rev.No: 00, Ver.No: 02, 15.10.2019
3.	-	Reviewed	Rev.No: 00, Ver.No: 02, 15.10.2019	Rev.No: 01, Ver.No: 02, 01.02.2021
4	-	Reviewed	Rev.No: 01, Ver.No: 02, 01.02.2021	Rev.No: 02, Ver.No: 02, 01.02.2022
5	-	DMCC replaced with EBC	Rev.No: 02, Ver.No: 02, 01.02.2022	Rev.No: 01, Ver.No: 03, 01.10.2022
6	-	Updation of the supply chain officer and compliance officer	Rev.No: 01, Ver.No: 03, 01.10.2022	Rev.No: 02, Ver.No: 03, 02.10.2023
7	-	reviewed	Rev.No: 02, Ver.No: 03, 02.10.2023	Rev.No: 03, Ver.No: 03, 01.11.2024
8	-	MLRO and DOA added	Rev.No: 03, Ver.No: 03, 01.11.2024	Rev.No: 01, Ver.No: 04, 26.05.2025
9	-	Reviewed	Rev.No: 01, Ver.No: 04, 26.05.2025	Rev.No: 02, Ver.No: 04, 01.05.2026

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